Docket No.

295981US2PCT

# MAIL STOP PCT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kenichi FUKUOKA, et al.

SERIAL NO:

10/591,664

GAU:

FILED:

September 5, 2006

EXAMINER:

FOR:

ORGANIC ELECTROLUMINESCENCE ELEMENT AND DISPLAY

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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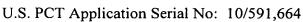
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SHEET 1 OF

THADENAP ATTY DOCKET NO. Form PTO 1449 (Modified) SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 295981US2PCT 10/591,664 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Kenichi FUKUOKA, et al. FILING DATE **GROUP** September 5, 2006 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB FILING DATE DATE NAME **CLASS** INITIAL NUMBER CLASS IF APPROPRIATE AAAB AC AD ΑE ΑF AG AH ΑI AJ ΑK AL AM AN FOREIGN PATENT DOCUMENTS **DOCUMENT** DATE **TRANSLATION** COUNTRY NUMBER YES NO JP (with English abstract and equivalent of US 5 ΑO 7 320864 12/08/1995 NO 478 658) AP 01 039554 05/31/2001 WO (with English abstract) NO AQ 2003 272855 09/26/2003 JP (with English abstract) NO AR 6 283271 10/07/1994 JP (with English abstract) NO AS 2002 373776 12/26/2002 JP (with English abstract) NO AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW ΑX AY ΑZ Additional References sheet(s) attached Examiner **Date Considered** \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Filed: September 5, 2006 Kenichi FUKUOKA, et al. Docket No. 295981 US



### STATEMENT OF RELEVANCY

- 1) References AO-AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) Reference AS is discussed in the specification. A copy of that reference is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.